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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/646,461	08/21/2003	Bill Rozas	TRAN-P231

WAGNER, MURABITO & HAO LLP  
 Third Floor  
 Two North Market Street  
 San Jose, CA 95113

CONFIRMATION NO. 8499

## FORMALITIES LETTER



\*OC000000011294358\*

Date Mailed: 11/16/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/03/2005 MAHME1 00000073 10646461

FILED UNDER 37 CFR 1.53(b)

02 FC:1051

130.00 DP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

P.O. Box 1450  
Alexandria VA 22313-1450

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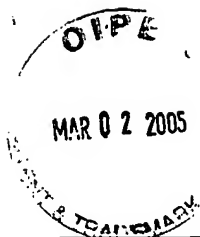
*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "Vhand", is written over a horizontal line.

Customer Service Center


Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: TRAN-P231.....

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date of deposit.			
Date of Deposit:	02/25/05	Name of Person Making the Deposit:	Julie Williams
		Signature of the Person Making the Deposit:	

Inventor(s): Guillermo Rozas, Alexander Klaiber, David Dunn, Paul Serris and Lacky Shah

Application No.: 10/646,461

Group Art Unit:

Filed: 08/21/03

Examiner:

Confirmation No: 8499

Title: METHOD AND SYSTEM FOR CONSERVATIVELY MANAGING STORE CAPACITY AVAILABLE TO A PROCESSOR ISSUING STORES

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

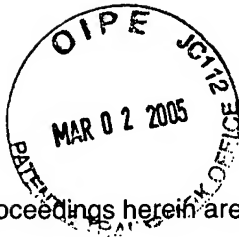
In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed 11/16/04,  
please find enclosed:

- ☒ [X] a duly executed Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set fourth in 37 C.F.R. § 1.16(e)
- ☐ [ ] a Declaration Claiming Small Entity Status, and a Request for Refund
- ☒ [X] a Request for an Extension of Time; and fee of \$450.00
- ☐ [ ] Revocation & New Power of Attorney by Assignee of Entire Interest
- ☒ [X] Copy of Notice to be returned with response;
- ☐ [ ] Other: \_\_\_\_\_

03/03/2005 MAHMED1 00000073 10646461

01 FC:1252

450.00 DP



### Extension of Term

1. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

<u>Extension</u>	<u>Fee</u>
<input type="checkbox"/> one month	\$120.00
<input checked="" type="checkbox"/> two months	\$450.00
<input type="checkbox"/> three months	\$1,020.00
<input type="checkbox"/> four months	\$1,590.00

Fee \$450.00

If an additional extension of time is required, please consider this a petition therefor.

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

### PAYMENT OF FEES

2. The full fee due in connection with this communication is provided as follows:

- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.

- ☒ A check in the amount of \$580.00

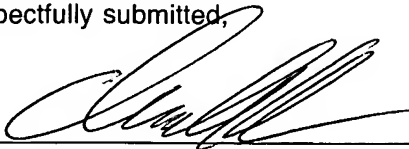
- ☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060  
Customer No: 45590

Respectfully submitted,

Date: 2/25/2005

By:   
Anthony C. Murabito  
Reg. No. 35,295